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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/710,520	07/18/2004	Brenda S. Hobson	228412082003	4519
26496	7590	12/28/2004		
GREENBERG & LIEBERMAN 314 PHILADELPHIA AVE. TAKOMA PARK, MD 20912				
			EXAMINER D ADAMO, STEPHEN D	
			ART UNIT 3636	PAPER NUMBER

DATE MAILED: 12/28/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/710,520	<b>Applicant(s)</b> HOBSON, BRENDA S.	
	<b>Examiner</b> Stephen D'Adamo	<b>Art Unit</b> 3636	<i>NW</i>

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-3 is/are pending in the application.  
     4a) Of the above claim(s) 3 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1 and 2 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 18 July 2004 is/are: a) ☐ accepted or b) ☒ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)            |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date ____ | 6) <input type="checkbox"/> Other: ____  |

### **SUPPLEMENTAL DETAILED ACTION**

1. Upon further consideration, with prior art that was found after the mailing of the first office action, two new ground(s) of rejection are made in view of McMichael and Moran. Thus, the applicant has access to the best art when preparing a response. Therefore, the previous office action has been withdrawn and the shortened statutory period has been reset.

#### ***Election/Restrictions***

2. Restriction to one of the following inventions is required under 35 U.S.C. 121:
  - I. Claims 1 and 2, drawn to a device for securing a user's head, classified in class 297, subclass 393.
  - II. Claim 3, drawn to a method for securing a user's head, classified in class 297, subclass 463.2.
3. Inventions I and II are related as method of making and product made. The inventions are distinct if either or both of the following can be shown: (1) that the method as claimed can be used to make another and materially different product or (2) that the product as claimed can be made by other and materially different process (MPEP § 806.05(f)). In the instant case the product can be made by a materially different method such as affixing the hat to the seat and then affixing the user's head to the hat.
4. Because these inventions are distinct for the reasons given above and have acquired a separate status in the art as shown by their different classification, restriction for examination purposes as indicated is proper.
5. During a telephone conversation with Michael Greenberg on 4 October 2004 a provisional election was made with traverse to prosecute the invention of the apparatus, claims 1

and 2. Affirmation of this election must be made by applicant in replying to this Office action.  
Claim 3 is withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

***Information Disclosure Statement***

6. The listing of references in the specification is not a proper information disclosure statement. 37 CFR 1.98(b) requires a list of all patents, publications, or other information submitted for consideration by the Office, and MPEP § 609 A(1) states, "the list may not be incorporated into the specification but must be submitted in a separate paper." Therefore, unless the references have been cited by the examiner on form PTO-892, they have not been considered.

***Drawings***

7. New corrected drawings in compliance with 37 CFR 1.121(d) are required in this application because the Figures and/or photographs are generally unclear and further fail to show the details of the invention. Applicant is advised to employ the services of a competent patent draftsman outside the Office, as the U.S. Patent and Trademark Office no longer prepares new drawings. The corrected drawings are required in reply to the Office action to avoid abandonment of the application. The requirement for corrected drawings will not be held in abeyance.

***Claim Objections***

8. Claims 1 and 2 objected to because of the following informalities:

In claims 1 and 2 "user"s" should have an apostrophe instead of quotation marks

In claim 1, line 7, "lop" should be corrected to "loop".

Appropriate correction is required.

***Claim Rejections - 35 USC § 112***

9. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1 and 2 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

Claim 2 recites the limitation "the point" in lines 2-3. There is insufficient antecedent basis for this limitation in the claim.

It is indeterminate as to whether applicant's independent claims, each individually assessed as a whole, are drawn to an apparatus per se or to the combination of an apparatus and *a device for maintaining a user's head against a seatback*. The conclusion is reached for the reason that the opening recitation of the preamble in applicant's claim sets forth that the claimed invention is to "an apparatus" or *a device*. This opening recitation is followed by a recitation that the claimed apparatus is "*for maintaining a user's head against a seatback*". However, further within the claims, the applicant positively claims "*the head*" and "*the seatback*".

***Claim Rejections - 35 USC § 102***

10. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for

patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claim 1 is rejected under 35 U.S.C. 102(e) as being anticipated by Scher (6,607,245).

Scher discloses a head restraint comprising a hat 34, a first hook and loop type fastener 19 and a second hook and loop type fastener 20, disclosed in Figure 6. Scher cites, "securement straps 19 and 20 are sewn to a cap 34 by user 35, although they could, of course, also be affixed by hook and eye fasteners" (col.3, lines 1-3). Therefore, a first piece of hook and eye type fastener of strap 19 is in communication with the hat 34 while a second hook and eye type fastener of strap 20 is attached to the seatback.

Claims 1 and 2 are rejected under 35 U.S.C. 102(b) as being anticipated by Meistrell (4,707,031).

Meistrell discloses a head restraint comprising a hat or head band 30 (seen in Figure 4), a first hook and loop type fastener 35 and a second hook and loop type fastener 36. The first hook and loop type fastener is in communication with the hat and the second hook and loop type fastener is attached to the child seat 34 or seatback. Furthermore, as disclosed in Figure 5, the first hook and loop type fastener is positioned on the hat at a point where the head conventionally rests against the seatback.

Claims 1 and 2 are rejected under 35 U.S.C. 102(b) as being anticipated by McMichael (6,386,639).

McMichael discloses a device for maintaining a user's head against a seatback comprising a hat 160, a first piece of hook and loop type fastener (Velcro 194; col.5, lines 29-30) in communication with the hat and a second piece of hook and loop type fastener

(Velcro 192; col.5, lines 21-22) in communication with the first piece of hook and loop type fastener. The first piece of hook and loop type fastener is positioned on the hat at the point where the users head conventionally rests against the seatback, as seen in Figure 7. Thus, the hat, as is clearly evident from the Figures 6, 7, and 9, is fitted on the head [of the user] and the second piece of hook and loop type fastener is attached to the seat. Moreover, a baseball cap is disclosed in Figure 9 (col.5, line 28).

Claims 1 and 2 are rejected under 35 U.S.C. 102(e) as being anticipated by Moran (6,799,802).

Moran discloses a device for maintaining a user's head against a seatback (abstract, lines 1-2) comprising a hat or head covering 12, a first piece of hook and loop type fastener or securing means 32 (col.4, lines 17-19) in communication with the hat and a second piece of hook and loop type fastener or securing means (col.3, lines 17-19) in communication with the first piece of hook and loop type fastener. The first piece of hook and loop type fastener is positioned on the hat at the point where the user's head conventionally rests against the seatback, as seen in Figure 2. Thus, the hat, as is clearly evident from Figure 2, is fitted on the heat [of the user] and the second piece of hook and loop type fastener is attached to the seat.

***Conclusion***

11. The prior art made of record (cited in the first office action) and not relied upon is considered pertinent to applicant's disclosure.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Stephen D'Adamo whose telephone number is 703-305-8173. The examiner can normally be reached on Monday-Thursday 6:00-3:30, 2nd Friday 6:00-2:30.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Pete Cuomo can be reached on 703-308-0827. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

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December 23, 2004

  
Carl D. Friedman  
Supervisory Patent Examiner  
Group 3600